

PA-B

**STATE OF ALABAMA**  
**DEPARTMENT OF INSURANCE**  
QUARTERLY PREMIUM TAX STATEMENT – DOMESTIC LIFE BUSINESS  
**Quarterly Period Ending March 31, \_\_\_\_\_**  
(Due no later than May 15, \_\_\_\_\_)

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**INSTRUCTIONS**

**PENALTIES** – Any Company failing to file its Premium Tax Return (even if no tax is due) or failing to pay such estimated taxes on a timely basis shall be subject to a penalty of \$1,000 to \$10,000, to be assessed by the Commissioner.

**RETURNS POST MARKED ON THE DUE DATE WILL BE ACCEPTED.**

**Please use the following checklist to assure that the necessary items are included with your Premium Tax Filing.**

- ( ) Each quarter's payment may be paid on Estimated or Actual premiums.
- ( ) Make checks payable to the: Alabama Department of Insurance.
- ( ) **WE DO NOT HAVE AN EFT ACCOUNT AT THIS TIME.** Mail this Return and a CHECK to the address below:

**POSTAL SERVICE**

Alabama Department of Insurance  
c/o Compass Bank  
P.O. Box 830691  
Birmingham, AL 35283-0691

**COURIER OR EXPRESS SERVICE**

Alabama Department of Insurance  
c/o Compass Bank  
701 South 32<sup>nd</sup> Street  
Birmingham, AL 35233

NAIC No. \_\_\_\_\_

\_\_\_\_\_  
(Name of Company)

\_\_\_\_\_  
Preparer's Signature

\_\_\_\_\_  
Name and Title (Print)

\_\_\_\_\_  
Telephone No.

PLEASE COMPLETE

**1. PREMIUM TAX PAID:** (reverse side, line 11)

**PA:**

\$

**2. Check No.:** -----

**STATE OF** \_\_\_\_\_ **COUNTY OF** \_\_\_\_\_

**Personally appeared before the undersigned attesting officer(Name)**

\_\_\_\_\_  
Who says he/she is (Title) \_\_\_\_\_ of the above company and the above statement is true and correct to the best of his/her knowledge.

**SWORN TO AND SUBSCRIBED** before me this \_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_.

\_\_\_\_\_  
NOTARY PUBLIC

**DOMESTIC LIFE BUSINESS**  
**Quarterly Period Ending March 31, \_\_\_\_\_**  
(Due no later than May 15, \_\_\_\_\_)

PA-B

NAIC#

**TAXABLE PREMIUMS**

**ACTUAL:**

	<u>THIS QUARTER</u>	<u>TAX RATE</u>	<u>TAX</u>
3. Life:			
a)Face amount equal to or less than \$5,000	\$ _____	X .5% =\$	_____
b)Face amount greater than \$5,000 up to and including \$25,000	\$ _____	X 1.0% =\$	_____
c)Face amount greater than \$25,000 & Group Life	\$ _____	X 2.3% =\$	_____
4. Health:			
a)Groups less than 50 participants	\$ _____	X .5% =\$	_____
b)Other Health, excluding insurance supplementary to Medicaid or Medicare & employer sponsored, governmental sponsored group insurance	\$ _____	X 1.6% =\$	_____
5. GROSS TAX DUE – ACTUAL BASIS			\$ _____

**ESTIMATED:**

	<u>PREVIOUS YEAR</u>	<u>TAX RATE</u>	<u>TAX</u>
6. Life:			
a)Face amount equal to or less than \$5,000	\$ _____	X 25% X .5%=\$	_____
b)Face amount greater than \$5,000 up to and including \$25,000	\$ _____	X 25% X 1.0%=\$	_____
c)Face amount greater than \$25,000 & Group Life	\$ _____	X 25% X 2.3%=\$	_____
7. Health:			
a)Groups less than 50 participants	\$ _____	X 25% X .5%=\$	_____
b)Other Health, excluding insurance supplementary to Medicaid or Medicare & employer sponsored, governmental sponsored group insurance	\$ _____	X 25% X 1.6%=\$	_____
8. GROSS TAX DUE - ESTIMATED BASIS			\$ _____
9. 25% of deductible expenses paid or estimated to be paid			\$ _____
10. LESS: Prior Year Overpayment			\$ _____
11. NET PREMIUM TAX DUE (line 5 or line 8 minus lines 9 and 10)			\$ _____

Report the Amount Paid, Check Number, and Date of Check in the following schedule.

TAXES PAID:	1 <sup>st</sup> Quarter	\$ _____	Check No. _____	Date paid _____
	2 <sup>nd</sup> Quarter	\$ _____	Check No. _____	Date paid _____
	3 <sup>rd</sup> Quarter	\$ _____	Check No. _____	Date paid _____

PA-B

**STATE OF ALABAMA**  
**DEPARTMENT OF INSURANCE**  
QUARTERLY PREMIUM TAX STATEMENT – DOMESTIC LIFE BUSINESS  
Quarterly Period Ending June 30, \_\_\_\_\_  
(Due no later than August 15, \_\_\_\_\_)

**INSTRUCTIONS**

**PENALTIES** – Any Company failing to file its Premium Tax Return (even if no tax is due) or failing to pay such estimated taxes on a timely basis shall be subject to a penalty of \$1,000 to \$10,000, to be assessed by the Commissioner.

**RETURNS POST MARKED ON THE DUE DATE WILL BE ACCEPTED.**

**Please use the following checklist to assure that all the necessary items are included with your Premium Tax Filing.**

- ( ) Each quarter's payment may be paid on Estimated or Actual premiums.
- ( ) Make checks payable to: Alabama Department of Insurance.
- ( ) **WE DO NOT HAVE AN EFT ACCOUNT AT THIS TIME.** Mail this Return and a Check to the address below:

**POSTAL SERVICE**

Alabama Department of Insurance  
c/o Compass Bank  
P.O. Box 830691  
Birmingham, AL 35283-0691

**COURIER OR EXPRESS SERVICE**

Alabama Department of Insurance  
c/o Compass Bank  
701 South 32<sup>nd</sup> Street  
Birmingham, AL 35233

NAIC No. \_\_\_\_\_

\_\_\_\_\_  
(Name of Company)

Preparer's Signature \_\_\_\_\_

\_\_\_\_\_  
Name and Title (Print)

Telephone No. \_\_\_\_\_

**PLEASE COMPLETE**

**1. PREMIUM TAX PAID:** (reverse side, line 11)

**PA:**

\$ \_\_\_\_\_

**2. Check No.:** -----

**STATE OF** \_\_\_\_\_ **COUNTY OF** \_\_\_\_\_

Personally appeared before the undersigned attesting officer(Name) \_\_\_\_\_

Who says he/she is (Title) \_\_\_\_\_ of the above company and the above statement is true and correct to the best of his/her knowledge.

**SWORN TO AND SUBSCRIBED** before me this \_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_.

\_\_\_\_\_  
NOTARY PUBLIC

**DOMESTIC LIFE BUSINESS**  
**Quarterly Period Ending June 30, \_\_\_\_\_**  
(Due no later than August 15, \_\_\_\_\_)

**PA-B**

NAIC# \_\_\_\_\_

**ACTUAL:**

**THIS QUARTER      TAX RATE      TAX**

**3. Life:**

a)Face amount equal to or less than \$5,000      \$ \_\_\_\_\_ X 180% X .5%= \$ \_\_\_\_\_  
b)Face amount greater than \$5,000 up to  
and including \$25,000      \$ \_\_\_\_\_ X 180% X 1.0%=\$ \_\_\_\_\_  
c)Face amount greater than \$25,000  
and Group Life      \$ \_\_\_\_\_ X 180% X 2.3%=\$ \_\_\_\_\_

**4. Health:**

a)Groups less than 50 participants      \$ \_\_\_\_\_ X 180% X .5%= \$ \_\_\_\_\_  
b)Other Health, excluding insurance  
supplementary to Medicaid or Medicare &  
employer sponsored, governmental sponsored  
group insurance      \$ \_\_\_\_\_ X 180% X 1.6%=\$ \_\_\_\_\_

**5. GROSS PREMIUM TAX DUE - ACTUAL BASIS**

\$ \_\_\_\_\_

**ESTIMATED:**

**PREVIOUS YEAR      TAX RATE      TAX**

**6. Life:**

a)Face amount equal to or less than \$5,000      \$ \_\_\_\_\_ X 45% X .5%= \$ \_\_\_\_\_  
b)Face amount greater than \$5,000 up to  
and including \$25,000      \$ \_\_\_\_\_ X 45% X 1.0%= \$ \_\_\_\_\_  
c)Face amount greater than \$25,000  
and Group Life      \$ \_\_\_\_\_ X 45% X 2.3%= \$ \_\_\_\_\_

**7. Health:**

a)Groups less than 50 participants      \$ \_\_\_\_\_ X 45% X .5%= \$ \_\_\_\_\_  
b)Other Health, excluding insurance  
supplementary to Medicaid or Medicare &  
employer sponsored, governmental sponsored  
group insurance      \$ \_\_\_\_\_ X 45% X 1.6%= \$ \_\_\_\_\_

**8. GROSS TAX DUE - ESTIMATED BASIS**

\$ \_\_\_\_\_

**9. 25% of deductible expenses paid or estimated to be paid**

\$ \_\_\_\_\_

**10. LESS: Prior Year Overpayment**

\$ \_\_\_\_\_

**11. NET PREMIUM TAX DUE (line 5 or 8 minus lines 9 and 10)**

\$ \_\_\_\_\_

Report the Amount Paid, Check Number, and Date of Check in the following schedule.

<b>TAXES PAID:</b>	1 <sup>st</sup> Quarter \$ _____	Check No. _____	Date paid _____
	2 <sup>nd</sup> Quarter \$ _____	Check No. _____	Date paid _____
	3 <sup>rd</sup> Quarter \$ _____	Check No. _____	Date paid _____

PA-B

**STATE OF ALABAMA**  
**DEPARTMENT OF INSURANCE**  
QUARTERLY PREMIUM TAX STATEMENT – DOMESTIC LIFE BUSINESS  
**Quarterly Period Ending September 30, \_\_\_\_\_**  
(Due no later than November 15, \_\_\_\_\_)

---

**INSTRUCTIONS**

**PENALTIES** – Any Company failing to file its Premium Tax Return (even if no tax is due) or failing to pay such estimated taxes on a timely basis shall be subject to a penalty of \$1,000 to \$10,000, to be assessed by the Commissioner.

**RETURNS POST MARKED ON THE DUE DATE WILL BE ACCEPTED.**

**Please use the following checklist to assure that all the necessary items are included with your Premium Tax Filing.**

- ( ) Each quarter's payment may be paid on Estimated or Actual premiums.
- ( ) Make checks payable to the: Alabama Department of Insurance.
- ( ) **WE DO NOT HAVE AN EFT ACCOUNT AT THIS TIME.** This Return and a check must be mailed to the address below:

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c/o Compass Bank  
P.O. Box 830691  
Birmingham, AL 35283-0691

**COURIER OR EXPRESS SERVICE**

Alabama Department of Insurance  
c/o Compass Bank  
701 South 32<sup>nd</sup> Street  
Birmingham, AL 35233

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NAIC#: \_\_\_\_\_

\_\_\_\_\_  
(Name of Company)

\_\_\_\_\_  
Preparer's Signature

\_\_\_\_\_  
Name and Title (Print)

\_\_\_\_\_  
Telephone No.

**PLEASE COMPLETE**

**1. PREMIUM TAX PAID:** (reverse side, line 11)

**PA:**

\$ \_\_\_\_\_

**2. Check No.:** -----

**STATE OF** \_\_\_\_\_ **COUNTY OF** \_\_\_\_\_

**Personally appeared before the undersigned attesting officer(Name)**

\_\_\_\_\_  
**Who says he/she is (Title)** \_\_\_\_\_ **of the above company and the above statement is true and correct to the best of his/her knowledge.**

**SWORN TO AND SUBSCRIBED before me this** \_\_\_\_\_ **day of** \_\_\_\_\_, **20** \_\_\_\_\_.

\_\_\_\_\_  
**NOTARY PUBLIC**

--OVER--

**DOMESTIC LIFE BUSINESS**  
**Quarterly Period Ending September 30, \_\_\_\_\_**  
(Due no later than November 15, \_\_\_\_\_)

**PA-B**

NAIC NO: \_\_\_\_\_

**TAXABLE PREMIUMS**

**ACTUAL:**

**THIS QUARTER    TAX RATE    TAX**

**3 .Life:**

a)Face amount equal to or less than \$5,000    \$ \_\_\_\_\_    X .5% =\$ \_\_\_\_\_  
b)Face amount greater than \$5,000 up to  
and including \$25,000    \$ \_\_\_\_\_    X 1.0% =\$ \_\_\_\_\_  
c)Face amount greater than \$25,000 &  
Group Life    \$ \_\_\_\_\_    X 2.3% =\$ \_\_\_\_\_

**4. Health:**

a)Groups less than 50 participants    \$ \_\_\_\_\_    X .5% =\$ \_\_\_\_\_  
b)Other Health, excluding insurance  
supplementary to Medicaid or Medicare &  
employer sponsored, governmental sponsored  
group insurance    \$ \_\_\_\_\_    X 1.6% =\$ \_\_\_\_\_

**5. GROSS TAX DUE – ACTUAL BASIS**

\$ \_\_\_\_\_

**ESTIMATED:**

**PREVIOUS YEAR    TAX RATE    TAX**

**6. Life:**

a)Face amount equal to or less than \$5,000    \$ \_\_\_\_\_    X 25% X .5% =\$ \_\_\_\_\_  
b)Face amount greater than \$5,000 up to  
and including \$25,000 \*    \$ \_\_\_\_\_    X 25% X 1.0% =\$ \_\_\_\_\_  
c)Face amount greater than \$25,000  
& Group Life    \$ \_\_\_\_\_    X 25% X 2.3% =\$ \_\_\_\_\_

**7. Health:**

a)Groups less than 50 participants    \$ \_\_\_\_\_    X 25% X .5% =\$ \_\_\_\_\_  
b)Other Health, excluding insurance  
supplementary to Medicaid or Medicare &  
employer sponsored, governmental sponsored  
group insurance    \$ \_\_\_\_\_    X 25% X 1.6% =\$ \_\_\_\_\_

**8. GROSS TAX DUE - ESTIMATED BASIS**

\$ \_\_\_\_\_

**9. 25% of deductible expenses paid or estimated to be paid**

\$ \_\_\_\_\_

**10. LESS: Prior Year Overpayment**

\$ \_\_\_\_\_

**11. NET PREMIUM TAX DUE**

\$ \_\_\_\_\_

(line 5 or line 8 minus lines 9 and 10)

**Report the Amount Paid, Check Number, and Date of Check in the following schedule.**

<b>TAXES PAID:</b>	1 <sup>st</sup> Quarter    \$ _____	Check No. _____	Date paid _____
	2 <sup>nd</sup> Quarter    \$ _____	Check No. _____	Date paid _____
	3 <sup>rd</sup> Quarter    \$ _____	Check No. _____	Date paid _____

STATE OF ALABAMA  
DEPARTMENT OF INSURANCE  
ANNUAL PREMIUM TAX STATEMENT – DOMESTIC LIFE BUSINESS  
for the Year Ending December 31, \_\_\_\_\_

PA-B

**INSTRUCTIONS**

**PENALTIES** – Any Company failing to file its **Premium Tax Return** (even when no tax is due) or failing to pay such taxes on a timely basis shall be subject to a penalty of \$1,000 to \$10,000, to be assessed by the Commissioner. ANY COMPANY, OTHER THAN A FRATERNAL, FAILING TO FILE THE **ANNUAL STATEMENT** ON A TIMELY BASIS SHALL BE SUBJECT TO A PENALTY OF \$250 AND MAY HAVE ITS CERTIFICATE OF AUTHORITY SUSPENDED OR REVOKED. Fraternal shall be subject to a penalty of \$100 per day for each day the Annual Statement is late.

**RETURNS POST MARKED ON THE DUE DATE WILL BE ACCEPTED.**

**Please use the following checklist to assure that all the necessary items are included with your Premium Tax Filing.**

- ( ) Include two (2) forms of supporting documentation for each credit taken on the reverse side.
- ( ) Make checks payable to the: Alabama Department of Insurance. **WE DO NOT HAVE AN EFT ACCOUNT AT THIS TIME.**
- ( ) Please submit **TWO CHECKS**: one in payment of Fees, and one in payment of Premium Taxes.
- ( ) Please mail the following documents to the address below: Annual Premium Tax Return and checks, the Annual Financial Statement, and the Application for License Renewal. **These items should be mailed together.**

**POSTAL SERVICE**

Alabama Department of Insurance  
c/o Compass Bank  
P. O. Box 830691  
Birmingham, AL 35283-0691

**COURIER OR EXPRESS SERVICE**

Alabama Department of Insurance  
c/o Compass Bank  
701 South 32<sup>nd</sup> Street  
Birmingham, AL 35233

NAIC# \_\_\_\_\_

COMPANY NAME \_\_\_\_\_

COMPANY MAILING ADDRESS \_\_\_\_\_

CONTACT PERSON \_\_\_\_\_ TELEPHONE \_\_\_\_\_

**LICENSE RENEWAL FEES**

**FEES: Renewal of Certificate of Authority**  
(Life--\$505)

**Annual Statement Filing Fee:**  
(LIFE--\$25)

PI \$ \_\_\_\_\_

PJ \$ \_\_\_\_\_

STATE OF \_\_\_\_\_, COUNTY OF \_\_\_\_\_

\_\_\_\_\_, President and \_\_\_\_\_ Secretary

of the \_\_\_\_\_ Insurance Company  
being duly sworn, each for himself, deposes and says, that they are the above described officers of said Company and that the foregoing statement of business transacted during such year and showing the true status of same on December 31, of such year, is full and correct according to the best of their information, knowledge and belief, respectively.

Subscribed & sworn before me this \_\_\_\_\_

Day of \_\_\_\_\_, 20 \_\_\_\_\_.

My commission expires \_\_\_\_\_

\_\_\_\_\_  
President

\_\_\_\_\_  
Secretary

\_\_\_\_\_  
Notary Public

-- OVER --

**STATE OF ALABAMA  
DEPARTMENT OF INSURANCE**

DOMESTIC LIFE BUSINESS

For the Year Ending December 31, \_\_\_\_\_

**PA-B**

NAIC# \_\_\_\_\_

**PREMIUMS less DIVIDENDS & RETURNS**

<b>1.</b>	<b>LIFE:</b>			
	a) <b>**Face amount equal to or less than \$5,000</b>	FAL5---	\$ _____	X <u>.5%</u> = \$ _____
	b) <b>Face amount greater than \$5,000 up to and including \$25,000</b>	FAM5---	\$ _____	X <u>1.0%</u> = \$ _____
	c) <b>Face amount greater than \$25,000</b>	FAM25---	\$ _____	X <u>2.3%</u> = \$ _____
	d) <b>Group LIFE</b>	GL----	\$ _____	X <u>2.3%</u> = \$ _____
<b>2.</b>	<b>HEALTH:</b>			
	a) <b>Groups with less than 50 participants</b>	GL50---	\$ _____	X <u>.5%</u> = \$ _____
	b) <b>Other Health</b>	OH---	\$ _____	
	<b>LESS: Medicare &amp; Medicaid Supplement policies</b>	MMP---	\$ _____	
	<b>LESS: Employer sponsored plans for govt. employees</b>	EGP---	\$ _____	
	<b>Total Taxable Other Health</b>	TOP---	\$ _____	X <u>1.6%</u> = \$ _____
<b>3.</b>	<b>GROSS PREMIUM TAX DUE:</b>			\$ _____
<b>4.</b>	<b>***DEDUCTIONS/CREDITS</b>			
	a) Ad valorem taxes paid on property owned & occupied as the insurer's principal office in Alabama		\$ _____	
	b) Ad valorem taxes paid on property in Alabama at least 50% occupied by insurer		\$ _____	
	c) Ad valorem taxes paid directly or in the form of rent to a third-party landlord on the insurer's offices in Alabama, apportioned by the square foot area occupied by the insurer.		\$ _____	
		ADV---	\$ _____ (lines 4a – 4c)	
	d) All assessments paid during the year to the Alabama Health Insurance Plan	AHIP---	\$ _____	
	e) All examination expenses paid to the Alabama Commissioner of Insurance	EXAM---	\$ _____	
	f) 60% of Alabama franchise or privilege taxes paid	FT --	\$ _____	
	g) 20% of Guaranty Fund Assessments for each of 5 years following the year of payment	GFA---	\$ _____	
<b>5.</b>	<b>Total Deductions (lines 4a – 4g)</b>	Totaled---	\$ _____	
<b>6.</b>	<b>NET PREMIUM TAX DUE</b> (line 3 less line 5; if line 5 is greater than line 3, enter zero)			\$ _____
<b>7.</b>	<b>LESS: Quarterly Premium Tax Payments</b>			\$ _____
<b>8.</b>	<b>LESS: Prior Year Overpayment</b>			\$ _____
<b>9.</b>	<b>PREMIUM TAX PAID</b> (line 6 less lines 7 and 8)	PA---	\$ _____	

**\*\* Line items 1a, 1b, 2a, and 2b-(tax-exempt premium only) require supporting documentation. A policy run, which can be obtained from the Company's underwriting unit will suffice as documentation.**



\*\*\* Lines 4a – 4g require *two* forms of documentation. If documentation is not included, the deduction will not be allowed. *All documentation must include a canceled check or verification of an EFT payment.* The second form of documentation may include a bill, an assessment, or a tax return.